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04/17/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

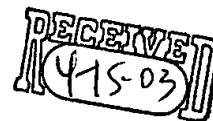
Applicant: Kevin S. McCurley et al. Examiner: Christopher A. Revak  
 Serial No.: 09/260,448 Group Art Unit: 2131  
 Filed: March 2, 1999 Docket: AM998125 (KQT)  
 Title: SECURE USER-LEVEL TUNNELS ON THE INTERNET

Official

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on April 15, 2003.

By: *[Signature]*  
 Name: George H. Gates



## CONTINUED PROSECUTION APPLICATION (CPA) UNDER 37 C.F.R. §1.53(d)

BOX CPA  
 Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

This is a request for a continuation application under 37 CFR § 1.53(d) (Continued Prosecution Application (CPA)) of prior patent application Serial No. 09/260,448, filed on March 2, 1999, and entitled SECURE USER-LEVEL TUNNELS ON THE INTERNET.

The above-identified prior patent application, in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, and which has a filing date on or after June 8, 1995, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

- ☒ Amendment Under 37 C.F.R. §1.116 including Statement of Common Ownership is attached. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☒ The fee has been calculated as shown below in the "Claims as Filed" table.

## CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$750.00
Total Claims				
104	20	94 <del>84</del>	x \$18.00	= <del>\$1,512.00</del>
Independent Claims				
3	3	0	x \$84.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				<del>\$2,262.00</del>

- ☒ A charge to the Deposit Account identified below in the amount of \$2,262.00 to cover the Filing Fee.
- ☒ Address all future communications to the Attention of George H. Gates at Gates & Cooper LLP, Howard Hughes Center, 6701 Center Drive West, Suite 1050, Los Angeles, California 90045, Telephone: (310) 641-8797.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

(PTO TRANSMITTAL - FILING UNDER 37 CFR 1.53(d))

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 01 FC:1006 750.00  
 02 FC:1202 1692.00

1692.  
 2442.

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

**CUSTOMER NUMBER 22462**

**GATES & COOPER LLP**

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: 

Name: George H. Gates

Reg. No.: 33,500

GHG/sjm

(PTO TRANSMITTAL - FILING UNDER 37 CFR 1.53(d))

Received from <+13106418798> at 4/15/03 5:14:07 PM [Eastern Daylight Time]

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kevin S. McCurley et al. Examiner: Christopher A. Revak  
Serial No.: 09/260,448 Group Art Unit: 2131  
Filed: March 2, 1999 Docket: AM998125 (KQT)  
Title: SECURE USER-LEVEL TUNNELS ON THE INTERNET

**Official**STATEMENT OF COMMON OWNERSHIPRECEIVED  
41503

I, KHANH Q. TRAN, declare as follows:

1. I was and remain a registered patent attorney employed by International Business Machines (IBM) Corporation, the assignee of the present application.
2. I am "of record" in the the present application, by virtue of being listed on the original power of attorney submitted in the application.
3. In accordance with M.P.E.P. §706.02(I)(2), I can make the following "Statement Concerning Common Ownership":

"U.S. Patent Application Serial No. 09/260,448 and U.S. Patent No. 6,104,716 were, at the time the invention of U.S. Patent Application Serial No. 09/260,448 was made, both owned by International Business Machines Corporation."

4. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: March 11, 2003K. Khanh Q. Tran  
Khanh Q. Tran

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04/17/03

**Gates & Cooper** LLPHoward Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045**EXPEDITED PROCEDURE****FAX TRANSMISSION TO USPTO**TO: Commissioner for Patents  
Attn: Examiner Christopher A. Revak  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231FROM: George H. Gates  
OUR REF.: AM998125 (KQT)  
TELEPHONE: (310) 642-4146Total pages, including cover letter: 23PTO FAX NUMBER: (703) 746-7238

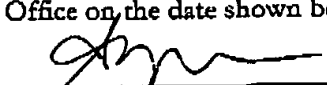
If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	CPA, AMENDMENT UNDER 37 C.F.R. §1.116, AND STATEMENT OF COMMON OWNERSHIP
Applicant:	Kevin S. McCurley et al.
Serial No.:	09/260,448
Filed:	March 2, 1999
Group Art Unit:	2131
Our Ref. No.:	AM998125 (KQT)

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application.

By: Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature4/15/03  
Date

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